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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/608174	
	Filing Date	06/26/2003	
	First Named Inventor	Jack H. Jacobs et al.	
	Art Unit	3682	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	55	Attorney Docket Number	H0004210-01-1622

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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Firm Name	Honeywell International Inc.	
Signature		
Printed name	Kurt A. Luther	
Date	1/26/05	Reg. No. 43,764

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Our Docket No.: H0004210-01-1622

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jack H. Jacobs et al. EXAMINER: not yet known
SERIAL NO.: 10/608174 GROUP: 3682
FILED: 06/26/2003 CASE NO.: H0004210-01-1622
ENTITLED: PIEZODYNAMIC VIBRATION DAMPING SYSTEM

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents
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Dear Sir:

In accordance with 37 C.F.R. § 1.56, there is hereby provided an Information Disclosure Statement together with a Form PTO-1449, copies of references cited on the Form PTO-1449 and copies of any applicable foreign communications.

1. ☒ No additional fee is required. This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(b) prior to one of the following events, whichever occurred last, including: (1) within three months of the filing date of a national application, (2) within three months of the date of entry of the national stage in an international application, or (3) before the mailing date of the first Office action on the merits.
2. ☐ This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(c) after one of the following events, whichever occurred last, including: (1) three months from the filing date of a national application, (2) three months from the date of entry of the national stage in an international application, or (3) the mailing date of the first Office action on the merits, but prior to the mailing date of either (1) a final action, or (2) a notice of allowance. *(check either item 2a or 2b)*
 - 2a. ☐ No fee is required, because the certification statement in Item 4 below is applicable.
 - 2b. ☐ Please charge the fee under 37 C.F.R. § 1.17(p) of \$230.00 to deposit Account No. 01-1125, because no certification under 37 C.F.R. 1.97(e) has been made.
3. ☐ This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. 1.97(d) after one of the following events, whichever occurred first, the mailing date of either (1) a final action, or (2) a notice of allowance, but before payment of the issue fee. In addition, the certification statement in Item 4 below is applicable. A Petition to the Commissioner is hereby made under 37 C.F.R. § 1.97(d) to request consideration of this Information Disclosure Statement. Please charge the fee under 37 C.F.R. § 1.17(p) of \$180.00 to deposit Account No. 01-1125 for the petition.

4. Certification Statement (*applicable if Item 2a or Item 3 is checked*)

(*check either Item 4 or 4b*)

4a. In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

4b. In accordance with 37 C.F.R. §1.97(e)(2), it is certified that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known by any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

5. X In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language reference is: (*check item 5a, 5b or 5c*)

5a. X satisfied because all non-English language references were cited on the enclosed English language copy of a search report or office action from a counterpart foreign or PCT International application indicating the degree of relevance found by the foreign office. See U.S. Patent & Trademarks Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68).

5b. set forth in the application.

5c. enclosed as an attachment hereto.

6. X No admission is made that the information cited in this Statement is, or is considered to be, prior art, material to patentability or a representation that a search has been made (other than a search report of a foreign counterpart application or PCT international search report if submitted herewith). 37 C.F.R. §1.97(g) and (h).

7. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 01-1125. A duplicate copy of this sheet is attached.

Respectfully Submitted,

By: 

Kurt A. Luther
Attorney for Applicants
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Substitute for form 1449/PTO

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Sheet	1	of	2
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Complete if Known

Application Number	10/608174
Filing Date	06/26/2003
First Named Inventor	Jack H. Jacobs et al.
Art Unit	3682
Examiner Name	not yet known
Attorney Docket Number	H0004210-01-1622

U. S. PATENT DOCUMENTS

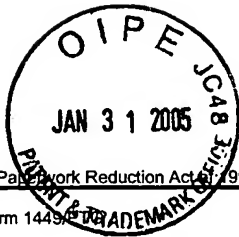
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1 ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		DE3901737A1	07-26-1990	Phoenix AG		

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/608174
Filing Date	06/26/2003
First Named Inventor	Jack H. Jacobs et al
Art Unit	3682
Examiner Name	not yet known
Attorney Docket Number	H0004210-01-1622

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PATENT ABSTRACTS OF JAPAN: JP2003120754A (23 April 2003) - Mitsubishi Precision Co. LTD, vol. 2003, no. 08, 6 August 2003, abstract, figures 1-3, 6.	
		PATENT ABSTRACTS OF JAPAN: JP20020347700A (4 December 2002) - NEC Corp., vol. 2003, no. 04, 2 April 2003, abstract.	
		PATENT ABSTRACTS OF JAPAN: JP4165118A (10 June 1992) - Hitachi LTD, vol. 0164, no. 64 (M-1316), 28 September 1992, abstract, figures 3, 8-10.	
		HAGOOD, NW ET AL., "Damping of Structural Vibrations with Piezoelectric Materials and Passive Electrical Networks," JOURNAL OF SOUND AND VIBRATION, vol. 146, no. 2, 1991, pages 243-268, the whole document.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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